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**BOARD OF REGENTS**

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**AGENDA**  
**SPONSORED PROGRAMS COMMITTEE**

Monday, December 11, 2017  
1:05 p.m.

The Claiborne Building  
Louisiana Purchase Room 1-100  
Baton Rouge, Louisiana

- I. Call to Order
- II. Roll Call
- III. BoRSF Plan and Budget, FY 2018-19
- IV. Redirection of Master Plan Research Advisory Committee
- V. Other Business
- VI. Adjournment

**Committee Members:** Edward Markle, Chair; Thomas Henning, Vice Chair; Marty Chabert; Roy Martin III; Darren Mire; Sonia Pérez; T. Jay Seale III; Adarian Williams

## AGENDA ITEM III.

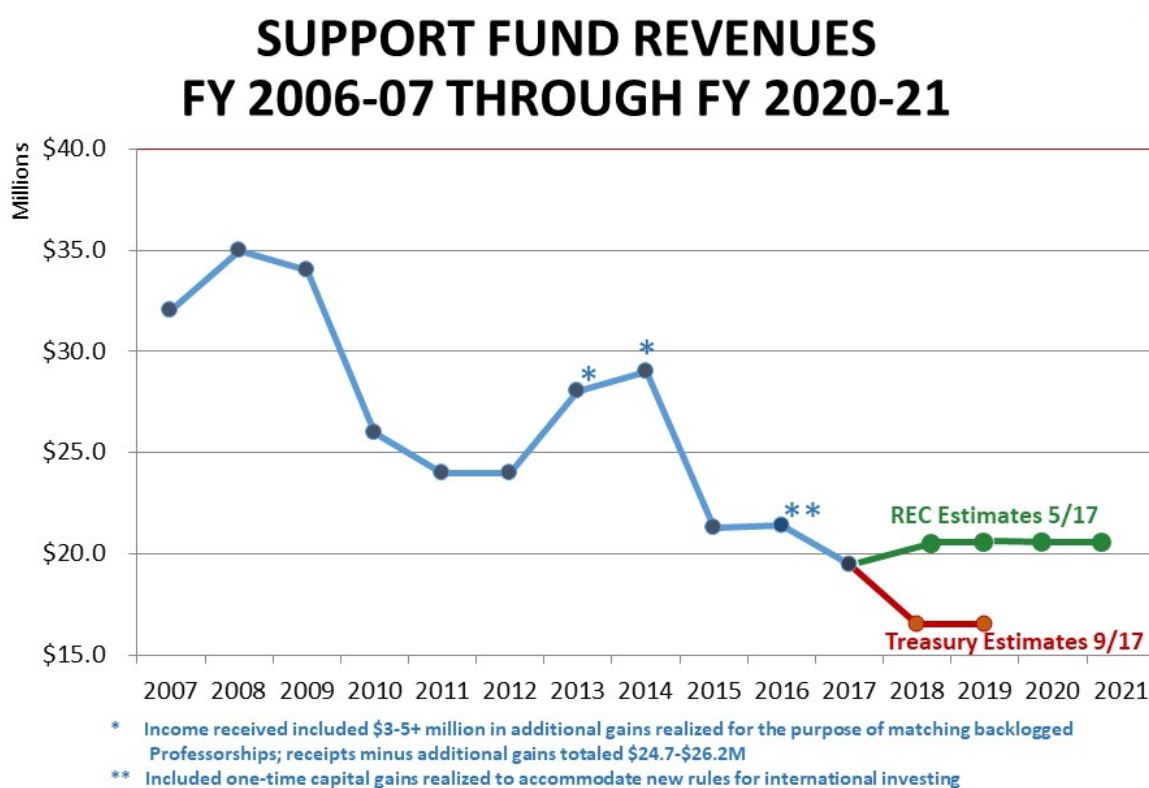
### BoRSF Plan and Budget, FY 2018-19

#### Background Information

The Board of Regents Support Fund (BoRSF), constitutionally designated and managed by the Board of Regents, is required by Article VII, Section 10 of the Constitution to submit an annual plan and budget to the Legislature and the Governor not less than 60 days prior to the beginning of the Regular Legislative Session. This document outlines proposals for expenditure across the four constitutionally permitted components of the Support Fund: Endowed Chairs for Eminent Scholars, Recruitment of Superior Graduate Students, Research and Development, and Enhancement.

#### Staff Summary

Though the Revenue Estimating Conference (REC) provides the official revenue estimate for the Support Fund, staff considers both trends over the recent past and the annual projection of the Treasury. Annual income from the Support Fund declined steadily and significantly during FY 2006-07 through FY 2016-17, from a peak of \$35 million to approximately \$19.5 million. Projections of the Treasurer and Revenue Estimating Conference diverge markedly for current and future years.



The Revenue Estimating Conference (REC) in May 2017 assumed an increase in earnings of approximately 8% over FY 2016-17, the last year for which final revenue figures are available; the Treasury has estimated a decrease in income of 15% for FY 2017-18 and FY 2018-19. New REC forecasts will be provided in mid-

December, and could be substantially lower than the May 2017 figures. It is notable that the Treasury estimate has been more accurate as revenue declines have persisted.

Based on current estimates and Support Fund history, the BoRSF Planning Committee concluded that \$19.5 million would be a reasonable budget level for the BoRSF in FY 2018-19. This level will enable full support for existing contracts and matching obligations, funding for backlogged endowment matching requests, and continued implementation of the recently adopted BoRSF program structure, including competitive grants programs and endowment matching. Program allocations, as well as contingencies in the event that revenues are higher or lower than projected, are detailed in Attachment A.

**Senior Staff Recommendation**

**Senior Staff recommends approval of the FY 2018-19 Board of Regents Support Fund budget, with contingencies, as presented.**

**ATTACHMENT A**  
**FY 2018-19 Board of Regents Support Fund Budget: Staff Recommendation**  
**Assumes \$19.5M Base**

Support Fund Program/Subprogram	FY 2017-18 Prior Commitments (Existing & Approved Obligations)	FY 2017-18 New Monies	FY 2018-19 Prior Commitments	FY 2018-19 New Monies
<b>Federal Matching</b>				
State Level	\$1,675,000	\$750,000	\$1,300,000	\$625,000
Campus Level (Competitive)	N/A	(DELAY IMPLEMENT.) \$0	\$0	(DELAY IMPLEMENT.) \$0
<b>Endowed Chairs</b>	\$0	\$1,620,000	\$0	\$1,220,000
<b>Graduate Fellows</b>				
Traditional (GF)	\$2,655,500	\$0	\$2,860,500	\$0
BoR/SREB Fellowships	\$815,000	\$0	\$635,000	\$0
Endowed Grad Schol	\$0	\$920,000	\$0	\$685,000
<b>Research &amp; Development</b>				
Research Competitiveness	\$2,327,009	\$1,350,000	\$2,202,460	\$1,250,000
Industrial Ties/PoCP	\$1,055,458	\$800,000	\$1,072,494	\$750,000
Awds to Artists & Scholars	\$0	\$330,000	\$0	\$300,000
<b>Enhancement</b>				
Departmental ENH	(TRENH FY17) \$300,000	\$2,637,401	\$720,000	\$2,280,118
Endowed Professorships	\$0	(NEW + BACKLOG) \$3,000,000	\$0	(NEW + BACKLOG) \$2,000,000
Endowed WF Schol	\$0	\$650,000	\$0	\$600,000
Endowed 1 <sup>st</sup> -Gen Schol	\$0	(PHASEOUT) \$380,000	\$0	(PHASEOUT) \$320,000
<b>Administration (Formula)</b>	\$0	\$734,632	\$0	\$679,428
<b>TOTAL</b>	<b>\$8,827,967</b>	<b>\$13,172,033</b>	<b>\$8,790,454</b>	<b>\$10,709,546</b>
<b>ANNUAL BUDGET</b>		<b>\$22,000,000</b>		<b>\$19,500,000</b>

**Contingencies for Changes in Revenue**

Higher than Budgeted: Additional revenues allocated as approved by the Board, with no increase in spending authority

Lower than Budgeted: Proportionate cuts taken in first-year amounts allocated for proposals across all competitive programs and subprograms. After all first-year funds for competitive programs and subprograms have been eliminated, reductions taken to the non-competitive Endowed Professorships & First-Generation Scholarships Subprograms. If additional cuts needed after first-year funds are eliminated, reductions made to federal matching commitments and/or prior contractual obligations

## AGENDA ITEM IV.

### Redirection of Master Plan Research Advisory Committee

#### **Background Information**

As stipulated in the Master Plan Research section of BoR Sponsored Programs policy, the Master Plan Research Advisory Committee (MPRAC), established through the 2012 BoR Master Plan, is charged to analyze current campus, regional and national research priorities and opportunities and advise the BoR related to university-led research and development activities. MPRAC is comprised of one member from each public and private four-year higher education system and each campus with two or more STEM doctoral programs, one member from each special focus research institution, the BoR's Deputy Commissioner for Sponsored Programs, and the BoR's Associate Commissioner for Research. Representatives of the systems and campuses are appointed by the appropriate president or chancellor.

#### **Staff Summary**

During its first five years, MPRAC met numerous times to discuss both the broad research landscape in Louisiana and the priorities of the State in the twenty-first century, bringing general and specific recommendations to bolster research and commercialization activities to the Board in December 2014 and June 2015. These recommendations were adopted and implemented as possible, with MPRAC continuing to exercise oversight and give consideration on a statewide basis of the higher education research mission and priorities.

As MPRAC's work has moved from identifying priorities to implementing actions to propel the State forward, it has moved past its initial charge and recognized the need for ongoing statewide leadership and advisory work to ensure that higher education's research and development missions continue to grow in meaningful and impactful ways, to bring Louisiana to the forefront of university-based research nationally.

Discussions at the MPRAC meeting of August 15, 2017 provided direction for a restructuring of MPRAC, as well as a renaming to decouple the work of the Committee from the time-limited and largely superseded 2012 Master Plan. Attachment A sets forth the structure, functions, and composition of the proposed Advisory Committee for the Advancement of Research in Louisiana (ACARL).

#### **Senior Staff Recommendation**

**Senior Staff recommends replacement of the Master Plan Research Advisory Committee with the Advisory Committee for the Advancement of Research in Louisiana (ACARL) as described in Attachment A. The Committee shall serve in an advisory capacity to the Board of Regents on matters related to university-based research and development in Louisiana.**

## ATTACHMENT A

### **Advisory Committee for the Advancement of Research in Louisiana (ACARL)** **Replaces the Master Plan Research Advisory Committee (MPRAC)**

Name: Advisory Committee for the Advancement of Research in Louisiana (ACARL)

Functions: The Advisory Committee has two principal roles: advisory, related to statewide policies and research/economic development initiatives; and communication, to determine ways to convey the impact and significance of ongoing research activities to Louisiana.

- Advisory Role
  - Advise Sponsored Programs Section/BoR on statewide policy issues related to Louisiana research, including partnerships with industry, collaborations across campuses, and impacts on state, regional, and national economies and communities
  - Recommend statewide priority research areas, to advance basic and applied knowledge and align with technology transfer and commercialization opportunities in the State
  - Provide guidance to the BoR and Sponsored Programs staff on mechanisms to support and encourage technology transfer and commercialization activities around the state.
  - Counsel BoR and Sponsored Programs staff on statewide needs related to building research capacity and competitiveness, and contributing to the development/maintenance of a high-tech economy
  - Work with the Louisiana EPSCoR Committee to promote statewide collaborative research and effective use of resources
  - Establish a subcommittee to consider and endorse on a statewide basis nominations to national committees and boards
- Communication Role
  - Establish relationships across campuses related to research initiatives and approaches, to assist in fostering collaboration and resource sharing
  - Lead statewide efforts to build broad awareness across state government and among the general public of the importance and impact of university-based research
  - Communicate to the Governor, Legislature, and general public the importance of Louisiana's involvement in major national science and technology organizations and coordinate efforts to advance Louisiana's participation
  - Advise the Regents and staff on mechanisms for enhancing the visibility of Louisiana research at the national level

Composition: One member from each public and private four-year higher education system and each campus with two or more STEM doctoral programs, one member from each special focus institution with significant research activity (e.g., health sciences centers, agriculture centers, research centers, etc. that operate as individual campuses), one member from LED, two members from research-oriented private industry, the BoR's Deputy Commissioner for Sponsored

Programs, and the BoR's Associate Commissioner for Research. Representatives of the systems and campuses shall be appointed by the appropriate president or chancellor. The Committee chair and vice chair shall be elected by the membership.

Meetings: The Advisory Committee will meet at least once per year, with additional meetings scheduled as needed, upon request of the chair or BoR staff.